



DT16 Rec'd PC/PTO

15 MAY 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt  
PG  
#11/C#12

In re application of:  
Christopher MEARS *et al.*

Appl. No.: 09/763,089

Confirmation No.: 2728

Filed: May 29, 2002

Art Unit: 3754

Examiner: Not Yet Assigned

Atty. Docket No.: 29925-169801

For: APPLICATOR AND DISPENSING  
DEVICE USING SAME

Customer No.



26694

PATENT TRADEMARK OFFICE

**Request for Corrected Filing Receipt**

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
JUN 26 2003  
GROUP 3600

**Attention: BOX PATENT APPLICATION**

Sir:

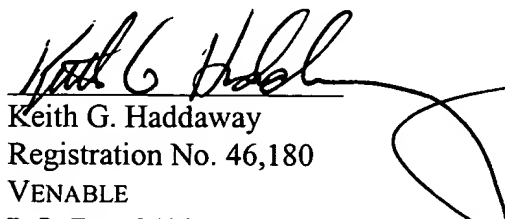
Submitted herewith is the marked up copy of the Official Filing Receipt with a request that a Corrected Filing Receipt be issued reflecting the third inventor's name to read as follow:

**Ralf Zissel, Mooresville, NC;**

It is further requested that, to the extent necessary, the U.S. Patent and Trademark Office records be corrected accordingly.

Respectfully submitted,

Date: May 15, 2003

  
Keith G. Haddaway  
Registration No. 46,180  
VENABLE  
P.O. Box 34385  
Washington, D.C. 20043-9998  
Telephone: (202) 962-4800  
Telefax: (202) 962-8300



## UNITED STATES PATENT AND TRADEMARK OFFICE

**MAG**  
 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/763,089	05/29/2002	3754	500	29925- <del>152086</del> 169801	7	30	3

26694  
 VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP  
 P.O. BOX 34385  
 WASHINGTON, DC 20043-9998

CONFIRMATION NO. 2728

## FILING RECEIPT



\*OC000000009945724\*

Date Mailed: 05/02/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Christopher Mears, New York, NY;  
 Richard Gray, New York, NY;  
*Ralph* [Ralph] Zissel, Mooresville, NC;  
 Harvey Levine, Fairfield, CT;  
 Lawrence Levine, Easton, CT;

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US99/18738 08/18/1999

## Foreign Applications

UNITED STATES OF AMERICA 09135942 08/18/1998

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 JUN 26 2003  
 GROUP 3630

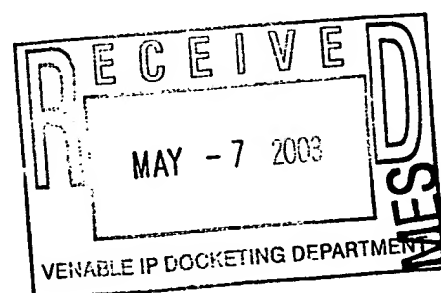
Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



Applicator and dispensing device using same

**Preliminary Class**

222

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christopher MEARS *et al.*

Appln. No.: 09/763,089

Filed: May 29, 2002

For: APPLICATOR AND DISPENSING  
DEVICE USING SAME

Art Unit: 3754

Examiner: *Unassigned*

Atty. Docket No. 29925-169801

Customer No.



26694

PATENT TRADEMARK OFFICE

**REQUEST FOR CORRECTED NOTICE OF  
RECORDATION OF ASSIGNMENT DOCUMENT**

**RECEIVED**

JUN 8 6 2003

**GROUP 3600**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attention: ASSIGNMENT**

Sir:

Attached hereto is a copy of the Notice of Recordation of Assignment document dated November 18, 2002, with the associated cover sheet and assignment. Upon review of the notice of recordation for the previously filed assignment, it was found that a filing date for the above-captioned application was missing.

Please issue a corrected Notice showing the filing date of May 29, 2002 for this application, as indicated in red on the attached copy.

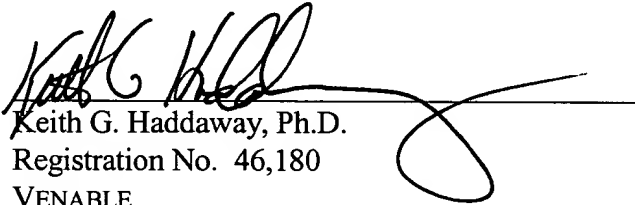
We also attached an official Filing Receipt as instructed in the letter from the U.S. Patent and Trademark Office, dated October 21, 2002, a copy at which is attached.

Applicants: Christopher MEARS et al.  
Application No.: 09/763,089

Because this error arose in the United States Patent and Trademark Office, it is not believe that any fees are required. Should a greater or lesser fee be required, please charge such fee or credit any overpayment to deposit account 22-0261 and notify undersign counsel accordingly.

Respectfully submitted,

Date: May 15, 2003

  
Keith G. Haddaway, Ph.D.  
Registration No. 46,180  
VENABLE

P.O. Box 34385  
Washington, D.C. 20043-9998  
Telephone: (202) 962-4800  
Telefax : (202) 962-8300

KGH/mg  
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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



AGH

DECEMBER 09, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

VENABLE  
KEITH G. HADDAWAY  
P.O. BOX 34385  
WASHINGTON, DC 20043-9998

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/30/2002

REEL/FRAME: 013240/0665  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS)

ASSIGNOR:  
ARICH, INC.

DOC DATE: 08/28/2002

ASSIGNEE:  
MIXTEK SYSTEM, LLC  
150 CENTRAL PARK SOUTH, SUITE 3210  
NEW YORK, NEW YORK 10019

SERIAL NUMBER: 09763089  
PATENT NUMBER:

FILING DATE: 05/29/2002  
ISSUE DATE:

SERIAL NUMBER: 29125166  
PATENT NUMBER:

FILING DATE: 06/20/2000  
ISSUE DATE:

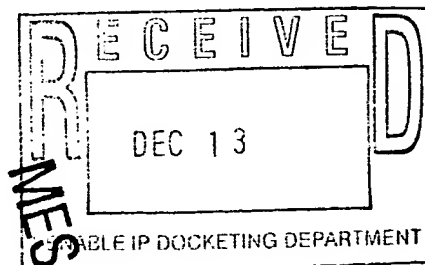
SERIAL NUMBER: 09031077  
PATENT NUMBER: 6070594

FILING DATE: 02/25/1998  
ISSUE DATE: 06/06/2000

SERIAL NUMBER: 09135942  
PATENT NUMBER: 6168335

FILING DATE: 08/18/1998  
ISSUE DATE: 01/02/2001

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GROUP 3600



013200/0665 PAGE 2

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US0121007

FILING DATE:

ISSUE DATE:

MARGARET LASALLE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

October 21, 2002

Dear Sirs,

Per your request to correct the filing dates on the Notice of Recordation. The Assignment Services Division cannot make the necessary correction. The best possible way to get your filing date corrected is to notify the Application Division in writing, request a Corrected Filing Receipt on the serial number in question. When the Application Division has sent back a response to your request for a Corrected Filing Receipt, then you must notify the Assignment Services Division and we will provide you with a corrected Notice of Recordation. Any questions concerning this matter please contact us at (703) 308-9723.

Sincerely,

Regina Coates-White  
Supervisor Paralegal Specialist

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JUN 25 2003  
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UNITED STATES PATENT AND TRADEMARK OFFICE

**MAG**  
 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/763,089	05/29/2002	3754	500	29925- <del>152086</del> 109801	7	30	3

CONFIRMATION NO. 2728

## FILING RECEIPT



\*OC000000009945724\*

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VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP  
 P.O. BOX 34385  
 WASHINGTON, DC 20043-9998

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JUN 26 2003

Date Mailed: 05/02/2003

GROUP 3600

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 Richard Gray, New York, NY;  
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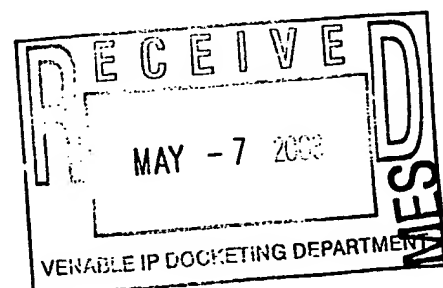
Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



Applicator and dispensing device using same

Preliminary Class

222

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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